



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: **Dutta et al.**

Serial No.: **09/833,340**

Filed: **April 12, 2001**

For: **Method and Apparatus for
Processing a Check within a Financial
System**

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Group Art Unit: **2161**

Examiner: **Unknown**

Attorney Docket No.: **AUS920010213US1**

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 7/11/01



Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Duke W. Yee", written over a horizontal line.

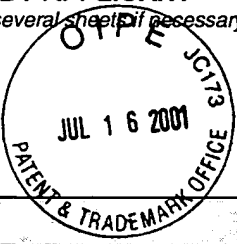
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Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. AUS920010213US1		SERIAL NO. 09/833,340	
			APPLICANT Dutta et al.			
			FILING DATE April 12, 2001		GROUP ART UNIT 2161	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA 5,025,139	Jun. 18, 1991	Halliburton, Jr.	235/379	Dec. 8, 1987	
	AB 5,345,549	Sep. 6, 1994	Appel et al.	395/154	Oct. 30, 1992	
	AC 5,422,467	Jun. 6, 1995	Graef et al.	235/379	Jan. 15, 1993	
	AD 5,534,682	Jul. 9, 1996	Graef et al.	235/379	Mar. 21, 1995	
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	AF 5,673,333	Sep. 30, 1997	Johnston	382/137	Sep. 23, 1994	
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FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						